

Express Mail Label No. E#005146943US  
Date of Deposit: October 3, 2001

PATENT APPLICATION  
Attorney Docket No. 15966-697 CURA-197

10/05/01  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Vernet et al.  
SERIAL NUMBER : 09/800,198 EXAMINER : Not Yet Assigned  
FILING DATE : March 5, 2001 ART UNIT : 1646  
FOR : NOVEL PROTEINS AND NUCLEIC ACIDS ENCODING SAME

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

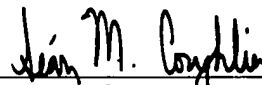
Transmitted herewith in response to the April 25, 2001, Notice to File Missing Parts in the present application are the following documents:

1. Copy of NOTICE TO FILE MISSING PARTS OF APPLICATION form (2 pgs);
2. RESPONSE TO NOTICE TO FILE MISSING PARTS (1 pg);
3. COMBINED DECLARATION AND POWER OF ATTORNEY, executed by inventors (3 declarations, 5 pages each, 15 total pages);
4. Check #10222 for \$65.00;
5. PETITION FOR FOUR-MONTH EXTENSION OF TIME (1 pg.);
6. Check #10399 in the amount of \$720.00;
7. Substitute Specification (221 pgs.);
8. Statement in Support of Substitute Specification (1 pg.);
9. Sequence Listing (262 pgs.)
  - a. Statement in Support of CRF;
  - b. Electronic Copy of Sequence Listing (1 disk);
10. Preliminary Amendment (1 pg); and
11. Return Postcard.

Applicants believe the Response to Notice to File Missing Parts is due on or before October 25, 2001, with the four-month extension. The enclosed checks are to cover the \$65.00 surcharge for filing Missing Parts and \$720.00 fee for the four-month extension of time. Although Applicants believe that no additional fees are due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311, Reference No. 15966-697 (CURA-197). A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

Date: October 3, 2001

  
Ivor R. Elrifi, Reg. No. 39,529  
Sean M. Coughlin, Reg. No. 48,593  
Attorney/Agent for Applicants  
c/o MINTZ, LEVIN  
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Express Mail No.: EK005146943US

Mailed: October 3, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,198	03/05/2001	Corine Vermet	15966-697 (Cura-197)

CONFIRMATION NO. 5015

## FORMALITIES LETTER



\*OC000000006004639\*

MINTZ, LEVIN, COHN, FERRIS,  
GLOVSKY and POPEO, P.C  
One Financial Center  
Boston, MA 02111

Date Mailed: 04/25/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing

information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.



For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Express Mail Label No. EK005146943US  
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PATENT APPLICATION  
Attorney Docket No. 15966-697 (CURA-197)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Vernet et al.  
SERIAL NUMBER : 09/800,198 EXAMINER : Not Yet Assigned  
FILING DATE : March 5, 2001 ART UNIT : 1646  
FOR : NOVEL PROTEINS AND NUCLEIC ACIDS ENCODING THE SAME

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

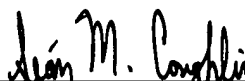
RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice To File Missing Parts of Non-provisional Application mailed April 25, 2001, Applicants submit herein executed Combined Declaration and Power of Attorney forms, payment of the surcharge of \$65.00 (#10222) as set forth in 37 C.F.R. §1.16(e), a copy of Notice to File Missing Parts, Sequence Listing, Statement in Support of CRF, electronic copy of Sequence Listing (1 disk), Preliminary Amendment, Substitute Specification, Statement in Support of Substitute Specification, payment of the Two-Month Extension of Time of \$720.00 (#10399) and Petition for Two-Month Extension of Time. This response is due on or before October 25, 2001, with a two-month extension.

Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 15966-697 (CURA-197).

Respectfully submitted,

Date: October 3, 2001

  
Ivor R. Elrifi, Reg. No. 39,529  
Sean M. Coughlin, Reg. No. 48,593  
Attorney/Agent for Applicants  
c/o MINTZ, LEVIN  
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Tel: (617) 542-6000  
Fax: (617) 542-2241



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Vernet et al..

SERIAL NUMBER: 09/808,602

EXAMINER: Not Yet Assigned

FILING DATE: March 14, 2001

ART UNIT: 1614

TITLE: NOVEL PROTEINS AND NUCLEIC ACIDS ENCODING SAME

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231


**STATEMENT IN SUPPORT OF SUBSTITUTE SPECIFICATION  
(37 CFR 1.125(b)(1))**

Pursuant to 37 CFR 1.125(b)(1), I hereby state that the content of the substitute specification and the specification filed on March 5, 2001 are the same. Applicants hereby submit this substitute specification in response to the Notice to File Missing Parts mailed on April 25, 2001, which specifically requested a substitute specification in compliance with 37 CFR 1.52. The correction of the margins is the only amendment made to the specification at this time. Thus, this submission includes no new matter.

Applicants believe that no fee is required for the filing of the present submission. However, the Commissioner is hereby authorized to charge any additional fees that may be due, or credit any overpayment, to Deposit Account No. 50-0311, Ref. No.15966-697 (CURA-197).

Respectfully submitted,

Dated: October 3, 2001

  
Ivor R. Elrifi, Reg. No. 39,529  
Sean M. Coughlin, Reg. No. 48,593  
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**PATENT APPLICATION**  
Attorney Docket No. 15966-697 (CURA-197)

#6

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS : Vernet et al.  
SERIAL NUMBER : 09/800,198 EXAMINER : Not Yet Assigned  
FILING DATE : March 5, 2001 ART UNIT : 1646  
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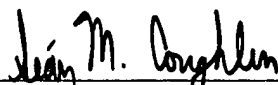
Assistant Commissioner for Patents  
Washington, D.C. 20231

**STATEMENT IN SUPPORT OF COMPUTER READABLE  
FORM SUBMISSION UNDER 37 C.F.R. § 1.821(f)**

I hereby state that the content of the paper and computer readable forms of the Sequence Listing, submitted in the above-identified application in accordance with 37 C.F.R. § 1.821(c) and 1.821(e), respectively, are the same. No new matter is added.

Respectfully submitted,

Date: October 3, 2001

  
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